TOP-UP Facility in existing Systematic Investment Plan (SIP)

ICICI PRUDENTIAL MUTUAL FUND — _TARAKKI KAREIN!_

UNITHOLDER'S DETAILS

FOLIO No. ☐☐☐☐☐☐☐ / ☐☐☐

Sole/First Applicant (Mr./Ms.): _____ FIRST NAME MIDDLE NAME LAST NAME

DETAILS OF EXISTING SIP INVESTMENT WHERE IN TOP-UP HAS TO BE DONE

SIP Frequency (✓): ☐ Daily ☐ Weekly ☐ Fortnighly ☐ Monthly ☐ Quarterly

Scheme Name & Plan : **ICICI Prudential** _____   Option/Sub-Option: _____

Each SIP amount: ₹ _____   Rupees in words: _____

SIP Date : ☐D ☐D   SIP Start Month & Year: ☐M ☐M ☐Y ☐Y ☐Y ☐Y   SIP End Month & Year: ☐M ☐M ☐Y ☐Y ☐Y ☐Y   No. of installments (In case of Daily/Weekly/Fortnighly frequencies) ☐☐

SIP TOP-UP DETAILS

TOP-UP Frequency (✓): ☐ **Half Yearly**   ☐ **Yearly**   (Under Quarterly SIP, the SIP TOP-UP frequency available is Yearly)
(If TOP-UP frequency is not selected, then the default option will be **Yearly**.)

SIP TOP-UP (In percentage): ☐10% ☐15% ☐20% ☐other_____ (multiples of 5% only)

SIP TOP-UP Amount: ₹ _____ (TOP-UP Amount has to be in multiples of ₹ 100 only.)

SIP TOP-UP CAP

Cap Amount*: ₹ _____   **OR**   **Cap Month-Year# :** ☐M ☐M ☐Y ☐Y ☐Y ☐Y

(Investor has to choose only one option – either CAP Amount or CAP Month-Year)

*TOP-UP CAP Amount: Investor has an option to freeze the SIP Top up amount once it reaches a fixed predefined amount. This amount should be same as the maximum amount mentioned in the bank mandate. In case of discrepancy between the CAP Amount & the maximum amount then whichever is lower shall be considered as the default amount of SIP till the end of SIP tenure.

#TOP-UP CAP Month-Year: It is the date from which SIP Top-Up amount will cease and last SIP installment including Top-Up amount will remain constant from Cap date till the end of SIP tenure.

Signature(s) as per ICICI Prudential Mutual Fund Records _(Mandatory)_

Sole/First Applicant	Second Applicant	Third Applicant

DEBIT MANDATE

ICICI PRUDENTIAL MUTUAL FUND

UMRN ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ FOR OFFICE USE ONLY   Date ☐☐☐☐☐☐☐☐

Sponsor Bank Code ☐☐☐☐☐☐☐☐☐ FOR OFFICE USE ONLY   Utility Code ☐☐☐☐☐☐☐☐☐☐ FOR OFFICE USE ONLY

Tick (✓)
☐ CREATE ✓
☐ MODIFY
☐ CANCEL

I/We hereby authorize **ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED** to debit (tick ✓) ☐ SB/CA/CC/SB-NRE/SB-NRO/Other

Bank a/c number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

with Bank _____ Name of customers bank   IFSC ☐☐☐☐☐☐☐☐☐☐☐ or MICR ☐☐☐☐☐☐☐☐☐

an amount of Rupees _____ Maximum Amount (Rupees in words)   ₹ _____

FREQUENCY ☐ Mthly ☐ Qtly ☒ H-Yrly ☒ Yrly ☐ As & when presented   DEBIT TYPE ☐ Fixed Amount ☐ Maximum Amount

Folio No. _____   Mobile No. _____

Reference _____ APPLICATION NUMBER   Email ID _____

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD
From ☐☐ ☐☐ ☐☐☐☐
To ☐☐ ☐☐ ☐☐☐☐
Or ☒ Until Cancelled

1. _____ Signature Primary Account holder
   _____ Name as in bank records
2. _____ Signature of Account holder
   _____ Name as in bank records
3. _____ Signature of Account holder
   _____ Name as in bank records

Declaration: I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorize to make payments referred above through participation in NACH/ECS/SI/Auto Debit. I/We hereby confirm adherence to the terms of EASY PAY facility offered by ICICI Prudential Asset Management Company Limited (the AMC) and as amended form time to time and of NACH/ECS/SI/Auto Debit. **Authorisation to Bank: This is to confirm that the declaration has been carefully read, understood & made by me/us.** I am authorizing the user entity/corporate to debit my account. I/We have understood that I/we authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/corporate or the bank where I have authorized the debit. This is to inform that I/we have registered for NACH/ECS/SI/Auto Debit facility and that my/our payment towards my/our investment in ICICI Prudential Mutual Fund shall be made from my/our above mentioned bank account with your Bank. I/We authorize the bank to debit my/our account for any charges towards mandate verification, registration, transactions, returns, etc, as applicable.

ACKNOWLEDGEMENT - ICICI Prudential Mutual Fund - SIP TOP-UP FACILITY FOR EXISTING INVESTORS

FOLIO No. ☐☐☐☐☐☐☐ / ☐☐☐

Name of the Investor: _____

Scheme Name, Plan & Option: _____

SIP Top-Up Amount: ₹ _____   Top-Up Cap Amount: ₹ _____   OR   Top-Up Cap Year: ☐M ☐M ☐Y ☐Y ☐Y ☐Y

Top-Up Frequency (✓): _____

SIP TOP UP FACILITY IN EXISTING SIP - TERMS AND CONDITIONS

This facility will allow investor to opt for TOP-UP in their existing SIP, which are routed through Direct Debit and ECS mode only.

Incase, an investor has more than one existing SIP in a single folio in the same scheme with a single distributor, unless specifically instructed by the investor, the top-up request shall be considered for the first registered SIP.

Investors/unit holders subscribing for this facility are required to submit the request at least 30 days prior to the SIP date. TOP-UP will be applicable from next effective SIP installment.

There are two type of SIP TOP-UP:

(1) Fixed TOP-UP.   (2) Variable TOP-UP.

Fixed TOP-UP: With this option, investor can increase SIP amount at regular interval with fixed amount. Minimum TOP-UP amount has to be Rs.100 and in multiples of Rs.100 thereof. For said option SIP TOP-UP frequency is at Half Yearly and Yearly basis. In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.

Please view below illustration for Fixed TOP-UP:

| • **SIP Tenure:** 07Jan 2015 to 07 Dec 2020 • **Monthly SIP Installment:** Rs. 2000/- |
| • **TopUp Amount:** Rs.100/- • **TopUp Frequency:** Yearly |

Installment No(s)	From Date	To Date	Monthly SIP Installment Amount (₹)	SIP Top-Up Amount (₹)	SIP Amount with TOP-UP (₹)
1 to 12	7-Jan-16	7-Dec-16	2000	N.A	2000
13 to 24	7-Jan-17	7-Dec-17	2100	100	2200
25 to 36	7-Jan-18	7-Dec-18	2200	100	2300
37 to 48	7-Jan-19	7-Dec-19	2300	100	2400
49 to 60	7-Jan-20	7-Dec-20	2400	100	2500

Variable TOP-UP: With this option, investor can increase SIP amount at regular interval, TOP-UP amount will be based on the percentage (%) opted by investor of SIP amount. For said option SIP TOP-UP frequency is at half yearly and yearly basis. The minimum TOP-UP percentage (%) should be 10% and in multiple of 5% thereof. Also the TOP-UP amount will be rounded off to the nearest highest multiple of Rs.10.

Please view below illustration for Variable TOP-UP:

| • **SIP Tenure:** 07Jan 2015 to 07 Dec 2020 • **Monthly SIP Installment:** Rs. 2000/- |
| • **TopUp percentage:** 10% • **TopUp Frequency:** Yearly |

Installment No(s)	From Date	To Date	Monthly SIP Installment Amount (₹)	SIP Top-Up Amount 10% (₹)	SIP TOP-UP round off Amount(₹)	SIP Amount with TOP-UP(₹)
1 to 12	7-Jan-16	7-Dec-16	2000	N.A	N.A	2000
13 to 24	7-Jan-17	7-Dec-17	2000	200	200	2200
25 to 36	7-Jan-18	7-Dec-18	2200	220	220	2420
37 to 48	7-Jan-19	7-Dec-19	2420	242	240	2660
49 to 60	7-Jan-20	7-Dec-20	2660	266	270	2930

Investor can either opt for Fixed Top-up facility or Variable Top-up facility under SIP Top-up. In case, Investor opts for both the options, than Variable top-up feature shall be triggered. In case the TOP UP facility is not opted by ticking the appropriate box and/or frequency is not selected, the TOP UP facility may not be registered.

In a scenario where investor selects multiple % option under variable SIP Top-up plan, higher percentage will be considered.

Other Information:

SIP TOP UP will be allowed in Micro SIP folio subject to condition that total investment including SIP TOP UP does not exceed Rs. 50,000/- in a rolling 12 month period or financial year i.e. April to March, the limit on Micro SIP investments.

The investor agrees to avail the TOP UP facility for SIP and authorize his/her bank to execute the NACH/SI for a further increase in installment from his/her designated account.

SIP TOP-UP CAP:

(i) **Cap Amount:** Investor has an option to freeze the SIP Top-Up amount once it reaches a fixed predefined amount. The fixed pre-defined amount should be same as the maximum amount mentioned by the investor in the bank mandate. In case of difference between the Cap amount & the maximum amount mentioned in bank mandate, then amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount

(ii) **Cap Month-Year:** It is the date from which SIP Top-Up amount will cease and last SIP installment including Top-Up amount will remain constant from Cap date till the end of SIP tenure.

   Investor shall have flexibility to choose either Top-Up Cap Amount OR Top-Up Cap Month-Year. In case of multiple selection, Top-Up Cap Amount will be considered as default selection.

   In case of non selection, the SIP variable Top-Up amount will be capped at a default amount of Rs.10 lakhs.

   SIP amount will remain constant from Top-Up Cap date/amount till the end of SIP Tenure.

• Investor will have to furnish existing SIP details to avail Top-Up facility in that partiular SIP.

• Incomplete forms and the forms with incorrect details will be rejected. AMC reserves to call and rectify the SIP.

Separate form has to be used for each SIP.

• SIP Top up in NACH option can be given to the extent of the maximum amount of the existing mandate amount linked to the respective SIP.

• Else the investor will be required to give the change of mandate request with enchance amount and then submit a TOP up request.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.